

FRM - 1 - 2024 - Sept.



# Abhipra



**Opportunity**  
TOWARDS  
Investments



## Client Demat Registration Form



## INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

### A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners /Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

### B. Proof of Identity (POI): -List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar) / Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### C. Proof of Address (POA): -List of documents admissible as Proof of Address:

(\*Documents having an expiry date should be valid on the date of submission.)

1. Unique Identification Number (UID) (Aadhaar) / Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook -- Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary, public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### D. Exemptions/clarifications to PAN

(Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/filing tax returns in India.
4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

### E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents

### F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of Entity	DOCUMENTARY REQUIREMENTS	
Corporate	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).</li> <li>• Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.</li> <li>• List of Promoters</li> </ul>	<ul style="list-style-type: none"> <li>• Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.</li> <li>• Copies of the Memorandum and Articles of Association and certificate of incorporation.</li> <li>• Copy of the Board Resolution for investment in securities market.</li> <li>• Authorised signatories list with specimen signatures.</li> <li>• PAN of Corporate</li> </ul>
Partnership Firm	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Copy of partnership deed.</li> <li>• Photograph, POI, POA, PAN of Partners.</li> </ul>	<ul style="list-style-type: none"> <li>• Certificate of registration (for registered partnership firms only).</li> <li>• Authorised signatories list with specimen signatures.</li> </ul>
Trust	<ul style="list-style-type: none"> <li>• Copy of the balance sheets for the last 2 financial years (to be submitted every year).</li> <li>• Copy of Trust deed.</li> <li>• Photograph, POI, POA, PAN of Trustees.</li> </ul>	<ul style="list-style-type: none"> <li>• Certificate of registration (for registered trust only).</li> <li>• List of trustees certified by managing trustees/CA.</li> </ul>
HUF	<ul style="list-style-type: none"> <li>• PAN of HUF.</li> <li>• Self Attested copy of PAN Card &amp; Address Proof of atleast one Co-parceners</li> <li>• Bank pass-book/bank statement in the name of HUF.</li> </ul>	<ul style="list-style-type: none"> <li>• Deed of declaration of HUF/ List of coparceners.</li> <li>• Photograph, POI, POA, PAN of Karta.</li> </ul>
Unincorporated Association or a Body of Individuals	<ul style="list-style-type: none"> <li>• Proof of Existence/Constitution document.</li> <li>• Resolution of the managing body &amp; Power of Attorney granted to transact business on its behalf.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>	
Banks/Institutional Investors	<ul style="list-style-type: none"> <li>• Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years.</li> <li>• Authorized signatories list with specimen signatures.</li> </ul>	
Foreign institutional Investors (FII) Army/ Government Bodies	<ul style="list-style-type: none"> <li>• Copy of SEBI registration certificate.</li> <li>• Authorized signatories list with specimen signatures</li> <li>• Self-certification on letterhead.</li> <li>• Authorized signatories list with specimen signatures</li> </ul>	
Registered Society	<ul style="list-style-type: none"> <li>• Copy of Registration Certificate under Societies Registration Act.</li> <li>• Committee resolution for persons authorised to act as authorised signatories with specimen signatures.</li> </ul>	<ul style="list-style-type: none"> <li>• List of Managing Committee members.</li> <li>• True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.</li> </ul>

## INSTRUCTIONS/ CHECK LIST (FOR FILLING ADDITIONAL INFORMATION RELATED TO TRADING ACCOUNT)

### 1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
3. Demat master or recent holding statement issued by DP bearing name of the client.
4. For individuals:
  - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
  - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do 'in-person' verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident
5. For non-individuals:
  - a. Form need to be initialized by all the authorized signatories.
  - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.

**INDEX OF DOCUMENTS**

S. No.	Name of Document	Brief Significance of the documents	Pg. No.
<b>MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI &amp; EXCHANGES</b>			
1.	Additional Information Related to Demat Account	Other Information specifically required to open the Demat account	1-3
2.	Option for Issuance of DIS Booklet alongwith Account Opening (for POA Clients)	Authorisation for DIS Issuance for POA Client	4
3.	Nomination Form	Nomination For Individual Client Only	5-6
4.	Additional Information Related To Corporate / Clearing Member Demat Account	Other Information specifically required to open the Demat account	7-9
5.	Rights and Obligations (NSDL + CDSL)	Rights and Obligations of Beneficial Owner and Depository Participant as Prescribed by SEBI and Depositories (NSDL + CDSL)	10-11
6.	Terms and Conditions-cum-registration / Modification Form For Receiving SMS Alerts From CDSL	Terms and Conditions with Form	12-13
7.	Schedule of Charges	Detailed Schedule of Charges for depositories	14-15
8.	Fema Declaration	Declaration given by client	16
9.	Acknowledgment Receipt		17

**NON MANDATORY DOCUMENTS**

10.	Declaration to use common mobile number and / E-mail ID in Family Accounts	Declaration to use common mobile number and / E-mail ID in Family Accounts	18
11.	Authorisation for Electronic Notes	To Issue Contracts Notes to the Client in Digital Form	19
12.	Addendum to the client registration form/key Information	Addendum to the client registration form/key Information	20
13.	Format of board resolution in case of Corporate/Trust	Format of board resolution in case of Corporate/Trust	21
15.	De-Activation of BSDA Flagging in the Demat Account	De-Activation of BSDA Flagging in the Demat Account	22

**ACKNOWLEDGEMENT**

I/We acknowledge receipt of the Mandatory documents listed above. I/We have read the contents of these and agree to abide by them. I/We further understand that the copies of rest of the document mentioned in above index shall be provided to me/us after Verification/ Acceptance/ Execution by the broker.

 (1)

Signature of the Client



## ABHIPRA CAPITAL LTD.

CIN: U74899DL1994PLC061802

SEGMENT	SEBI REGN NO.:	DATE
NSE Cash	- INZ000285230	1 0 - 1 0 - 2 0 1 9
NSE F&O	- INZ000285230	1 0 - 1 0 - 2 0 1 9
NSE CD	- INZ000285230	1 0 - 1 0 - 2 0 1 9
BSE Cash	- INZ000285230	1 0 - 1 0 - 2 0 1 9
BSE F&O	- INZ000285230	1 0 - 1 0 - 2 0 1 9
BSE CD	- INZ000285230	1 0 - 1 0 - 2 0 1 9
DSE	- INB050815035	0 9 - 0 4 - 2 0 1 0
NSDL	- IN-DP-13-2015	2 3 - 0 1 - 2 0 1 5
CDSL	- IN-DP-13-2015	2 3 - 0 1 - 2 0 1 5

**Regd. Office** : GF 58-59, World Trade Centre, Barakhamba Lane, Delhi - 110001

**Phone no.** : 011- 42390798, 42390799

**Corporate Office** : Abhipra Complex, A - 387, Dilkhush Indl. Area, G.T. Karnal Road, Azadpur, Delhi-110033

**Phone** : 011-41411130, 42390909, **Fax No.**: 011-42390930

**E-mail Id** : [customercare@abhipra.com](mailto:customercare@abhipra.com) **Website** : [www.abhipra.com](http://www.abhipra.com)

### Compliance Officer's Details (All Exchanges)

**Name** : Mr. Kapil Bansal  
**E-mail Id** : [cs@abhipra.com](mailto:cs@abhipra.com)  
**Phone No.** : +91-11-42390727

### Compliance Officer's Details (NSDL/CDSL Depository)

**Name** : Mr. Kapil Bansal  
**E-mail Id** : [cs@abhipra.com](mailto:cs@abhipra.com)  
**Phone No.** : +91-11-42390727

### CEO's Details

**Name** : Abhinav Aggarwal  
**E-mail Id** : [abhinav@abhipra.com](mailto:abhinav@abhipra.com)

**Phone No.** : +91-11-42390909

### BSE Derivatives Clearing Member Details:

#### Stock Holding Corporation of India Limited

SEBI Registration No. : .....

Unit No. 301, 3rd Floor, Peninsula Center Point, Opp. Bharat Mata

Cinema, Dr. Baba Saheb Ambedkar Road, Parel, Mumbai - 400012

For any grievance/dispute please contact **Abhipra Capital Ltd.** at the above address or email id-[grievance@abhipra.com](mailto:grievance@abhipra.com) and Phone No. +91-11-41411130 Extn. 8036, 8762. In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name	E-mail ID	Phone No.
National Stock Exchange of India Ltd.	<a href="mailto:isc@nse.co.in">isc@nse.co.in</a>	011-23459148, 011-23741741 Toll Free : 1800-2660050
Bombay Stock Exchange Ltd.	<a href="mailto:iscdelhi@bseindia.com">iscdelhi@bseindia.com</a>	011-25782116-18
Delhi Stock Exchange Ltd	<a href="mailto:isc@dseindia.org.in">isc@dseindia.org.in</a>	011-42074802
Depository Participant (NSDL)	<a href="mailto:info@nsdl.co.in/relations@nsdl.co.in">info@nsdl.co.in/relations@nsdl.co.in</a>	011-23353814-15, 022-24994200
Depository Participant (CDSL)	<a href="mailto:complaints@cdslindia.com">complaints@cdslindia.com</a>	011-25782116-18
Securities & Exchange Board of India	<a href="mailto:sebi@sebi.gov.in">sebi@sebi.gov.in</a> <a href="http://scores.gov.in">http://scores.gov.in</a>	022-26449950, 022-26449188 Toll Free : 1800-266-7575

**ADDITIONAL INFORMATION RELATED TO DEMAT ACCOUNT – INDIVIDUAL**

 DP ID CDSL 12015000

Client ID CDSL

 DP ID NSDL IN300206

Client ID NSDL

(Please fill the details in CAPITAL LETTERS only)

Date

D	D	M	M	Y	Y	Y	Y

I / We request you to open a depository account in my / our name as per the following details :

<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual HUF/AOP
	<input type="checkbox"/> Individual Promoter <input type="checkbox"/> Minor <input type="checkbox"/> Individual Margin Trading Acc (MANTRA) <input type="checkbox"/> Others
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non - Repatriable <input type="checkbox"/> NRI Repatriable Promoter
	<input type="checkbox"/> NRI Non - Repatriable Promoter <input type="checkbox"/> NRI Depository Receipts <input type="checkbox"/> Others
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National Depository Receipts <input type="checkbox"/> Others

**A) ACCOUNTS HOLDER(S) DETAILS**

Account Holder(s)	Sole/ First Holder	Second Holder	Third Holder
Name			
PAN			
Occupation (please tick any one and give brief details)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Service <input type="checkbox"/> Business <input type="checkbox"/> Professional	<input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> Others (Please Specify)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Govt Service <input type="checkbox"/> Business <input type="checkbox"/> Professional
Brief Details			

**B) For Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of natural persons, the name and PAN of Association of Persons (AOP), Partnership Firm, Unregistered Trust etc., should be mentioned below :**

Name		PAN	
------	--	-----	--

**C) TYPES OF ACCOUNT**

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Ordinary Resident          | <input type="checkbox"/> NRI-Repatriable               | <input type="checkbox"/> NRI Non-Repatriable |
| <input type="checkbox"/> Qualified Foreign Investor | <input type="checkbox"/> Foreign National              | <input type="checkbox"/> Promoter            |
| <input type="checkbox"/> Margin                     | <input type="checkbox"/> Others (Please Specify) _____ |  |

**D) GROSS ANNUAL INCOME DETAILS**

Income Range per annum (please tick any one)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Below ₹ 1 Lac       | <input type="checkbox"/> ₹ 1 Lac - ₹ 5 Lac  | <input type="checkbox"/> ₹ 5 Lac - ₹ 10 Lac |
| <input type="checkbox"/> ₹ 10 Lac - ₹ 25 Lac | <input type="checkbox"/> More than ₹ 25 Lac |   |

Networth Amount ₹ \_\_\_\_\_ as on \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

(Networth should not be older than 1 year). Copy of ITR is mandatory for Income above ₹ 10 Lac.

 Signature of Sole / 1<sup>st</sup> Holder

 Signature of 2<sup>nd</sup> Holder

 Signature of 3<sup>rd</sup> Holder

**E) IN CASE OF NRIs / FOREIGN NATIONALS**

Foreign Address													
								Pin Code					
RBI Approval Ref No													
RBI Approval Date	D	D	M	M	Y	Y	Y	Y					

**BANK DETAILS**

Bank Account Type															
Bank Account No															
Bank Name															
Bank Address															
	City/Town/Village							Pin Code							
	State				Country										
MICR Code															
IFS Code															

\*9 Digits Code No if the Bank and Bank appearing on the MICR cheque issue by the Bank. MICR beginning with '0' are not valid.

i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO, (or) (iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank.

Incase of (ii), (iii) and (iv), the MICR code of the branch should be present / mentioned on the document.

**G) Please tick, if applicable**
 Politically Exposed Person (PEP)

 Related to a Politically Exposed Person (PEP)

**H) STANDING INSTRUCTIONS**

I / We authorise you to receive credits automatically into my / our account	<input type="checkbox"/> Yes <input type="checkbox"/> No
Accounts to be operated through Demat Debit and Pledge Instruction (DDPI)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Standing instruction indicator for Auto Pledge Confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Mode of Operations	<input type="checkbox"/> Jointly <input type="checkbox"/> Anyone of the Holder or Survivor

**SMS ALERT FACILITY : (Mandatory if you are giving Power of Attorney (PoA). Ensure that the mobile no is provided in the KYC application form**

SMS Alert Facility	<input type="checkbox"/> Yes: Mobile No - +91-	<input type="checkbox"/> No	
	Refer to Terms and Conditions given as Annexure A Model of Receiving Rights and Obligations <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Self <input type="checkbox"/> Family Member		
EASI Facility	<input type="checkbox"/> Yes <input type="checkbox"/> No. If yes, please contact the DP for details [Facility through, CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> , wherein a BO can view his ISIN balances, transactions and value of portfolio online]		
S No	Holder	Yes	No
1	Sole / First Holder	<input type="checkbox"/>	<input type="checkbox"/>
2	Second Holder	<input type="checkbox"/>	<input type="checkbox"/>
3	Third Holder	<input type="checkbox"/>	<input type="checkbox"/>
Mode of receiving Statement of account	<input type="checkbox"/> Physical Form		
	<input type="checkbox"/> Electronic Form [Read Note 3 and ensure that email ID provided in the KYC application form]		
Email ID			

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 Signature of Sole / 1<sup>st</sup> Holder

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 Signature of 2<sup>nd</sup> Holder

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 Signature of 3<sup>rd</sup> Holder

**FINANCIAL DETAILS**

	PAN / GIR No										IT Circle / Ward / District No			
Sole / First Holder														
Second Holder														
Third Holder														
Guardian Details (if sole holder is minor)														

**I) GUARDIAN DETAILS (Where Sole Holder is Minor)**

[For account of a minor, two KYC application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]

Name											Date of Birth (Minor)	D	D	M	M	Y	Y	Y	Y
Guardian Name																			
PAN																			
Relationship of guardian with Minor																			

**J) NOMINATIONS OPTIONS**

I / We wish to make a nomination  
[Details are provided at Form 10]

I / We do not wish to make a nomination

**DECLARATION**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document "Rights and Obligations of the Beneficial Owner and Depository Participant".

	Name of the Holder(s)	Signature(s) of Holders
Sole / First Holder / Guardian (in case holder is minor)		
Second Holder		
Third Holder		

**Note:**

- All communication shall be sent at the address of the Sole/First holder only.
- Thumb impression and signatures other than Hindi or English or any of the other languages not contained in the 8th Schedule of Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
- For receiving Statement of Account in electronic form:
  - Client must ensure the confidentiality of the password of the email account.
  - Client must promptly inform the Participant if the email address has changed.
  - Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
- Strike off whichever is not applicable.

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 Signature of Sole / 1<sup>st</sup> Holder

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 Signature of 2<sup>nd</sup> Holder

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 Signature of 3<sup>rd</sup> Holder

**OPTION FOR ISSUANCE OF DIS BOOKLET ALONGWITH ACCOUNT OPENING (FOR POA CLIENTS)**

To,  
 Abhipra Capital Limited  
 Regd Off: GF 58-59, World Trade Center, Barakhamba Lane, Connaught Place, Delhi 110001  
 Corp Off: Abhipra Complex, A-387, Dilkhush Indl Area, G T Karnal Road, Azadpur, Delhi 110033

DP ID NSDL	IN300206	DP ID CDSL	12015000
Client ID			

Options for Issue of DIS Booklet (Please tick anyone)

Option 1  I / We wish to receive the Delivery Instruction Slip (DIS) booklet with account opening.

Option 2  I / We do not wish to receive the Delivery Instruction Slip (DIS) booklet with account opening. However, the DIS booklet should be issued to me/us immediately on my/our request at any later date.

	Name of the Holder(s)	Signature(s) of Holders
Sole / First Holder / Guardian (in case holder is minor)		
Second Holder		
Third Holder		

Signature of Sole / 1<sup>st</sup> Holder

Signature of 2<sup>nd</sup> Holder

Signature of 3<sup>rd</sup> Holder

**NOMINATION FORM FOR DEMAT / TRADING ACCOUNTS**

**(FOR INDIVIDUAL APPLYING SINGLY OR JOINTLY)**

Date	D	D	M	M	Y	Y	Y	Y	DP ID							Client ID							
UCC																							

I / We hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our demise, as trustee and on behalf of my / our legal heir(s) \*

**Nomination Details**

Nominee	Mandatory Details						Additional Details ****	
	Name of nominee	Share of nominee (%)**	Relation ship	Postal Address	Mobile number & E-mail	Identity Number ***	D.O.B. of nominee (dd-mm-yyyy)	Guardian
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								

1) I / We want the details of my / our nominee to be printed in the statement of holding or statement of account, provided to me/ us by the DP as follows; (please tick, as appropriate)

Name of Nominee(s)

Nomination: Yes / No

2) I hereby authorize \_\_\_\_\_ (nominee no \_\_\_\_\_) to operate my account on my behalf, in case of my incapacitation in terms of paragraph 3.5 of the circular. He / She is authorized to encash my assets up to \_\_\_% of assets in the account or Rs. \_\_\_\_\_.

**(Optional)** (strike off portions that are not relevant) This nomination shall supersede any prior nomination made by me / us, if any.

Signature of Sole / 1<sup>st</sup> Holder

Signature of 2<sup>nd</sup> Holder

Signature of 3<sup>rd</sup> Holder

3) Signature(s) – As per the mode of holding in demat account(s)

Name(s) of holder(s)		Signature(s) of holder / thumb impression	Signature of two witnesses*	Name of Witness & Address (wherever applicable) *
Sole / 1st Holder (Mr./Ms.)				
2nd Holder (Mr./Ms.)				
3rd Holder (Mr./Ms.)				

\* Signature of two witness(es), along with name and address are required, if the account holder affixes thumb impression, instead of wet signature.

**\*Joint Accounts:**

Event	Transmission of Account
Demise of one or more joint holder(s)	Surviving holder(s) through name deletion The surviving holder(s) shall inherit the assets as owners.
Demise of all joint holders simultaneously – having nominee	Nominee
Demise of all joint holders simultaneously – not having nominee	Legal heir(s) of the youngest holder

**Notes:**

\*\* If % is not specified, then the assets shall be distributed equally amongst all the nominees. Any odd lot after division / fraction of %, shall be transferred to the first nominee mentioned in the nomination form. (see table in 'Transmission aspects').

\*\*\* Provide only number: PAN or Driving License or Aadhaar (last 4 digits). Copy of the document is not required. However, in case of NRI / OCI / PIO, Passport number is acceptable.

\*\*\*\* to be furnished only in following conditions / circumstances:

- Date of Birth (DoB): please provide, only if the nominee is minor.
- Guardian: It is optional for you to provide, if the nominee is minor.

**Rights, Entitlement and Obligation of the investor and nominee**

- If you are opening a new demat account, you have to provide nomination. Otherwise, you have to follow procedure as per 3.10 of this circular SEBI/HO/OIAE/OIAE\_IAD-3/P/ON/2025/0027, dated February 28, 2025
- You can make nomination or change nominee any number of times without any restriction.
- You are entitled to receive acknowledgment from the DP for each instance of providing or changing nomination.
- Upon demise of the investor, the nominees shall have the option to either continue as joint holders with other nominees or for each nominee(s) to open separate single account.
- In case all your nominees do not claim the assets from the DP, then the residual unclaimed asset shall continue to be with the concerned Depository in case of Demat account.
- You have the option to designate any one of your nominees to operate your account, in case of your physical incapacitation, at any point of time and not just during opening of account. This mandate can be changed any time you choose.
- The signatories for this nomination form shall be as per mode of holding in the demat account(s) i.e.

- 'Either or Survivor' Accounts - any one of the holder can sign
- 'First holder' Accounts - only First holder can sign
- 'Jointly' Accounts - all holders have to sign\

**Transmission aspects**

- DPs shall transmit the account to the nominee(s) upon receipt of 1) copy of death certificate and 2) completion / updation of KYC of the nominee(s). The nominee is not required to provide affidavits, indemnities, undertakings, attestations or notarization.
- In case of a joint account, for transmission to the surviving joint holder(s) by name deletion, the surviving joint holder(s) shall have the option to update residential address(es), mobile number(s), email address(es), bank account detail(s), annual income and nominee(s), either along with transmission or at a later date. The regulated entity cannot seek KYC documents at the time of transmission, unless it was sought earlier but not provided by the holder.
- Nominee(s) shall extend all possible co-operation to transfer the assets to the legal heir(s) of the deceased investor. In this regard, no dispute shall lie against the DP.
- **In case of multiple nominees, the assets shall be distributed pro-rata to the surviving nominees, as illustrated below.**

% Share as specified by investor at the time of nomination		% assets to be apportioned to surviving nominees upon demise of investor and nominee 'A'			
Nominee	% share	Nominee	% initial share	% of A's share to be apportioned	Total % Share
A	60%	A	0	0	0
B	30%	B	30%	45%	75%
C	10%	C	10%	15%	25%
Total	100%	-	40%	60%	100%

Signature of Sole / 1<sup>st</sup> Holder

Signature of 2<sup>nd</sup> Holder

Signature of 3<sup>rd</sup> Holder

**ADDITIONAL INFORMATION RELATED TO CORPORATE / CLEARING MEMBER DEMAT ACCOUNT**

 DP ID CDSL 12015000

Client ID CDSL

 DP ID NSDL IN300206

Client ID NSDL

(Please fill the details in CAPITAL LETTERS only)

Date

D	D	M	M	Y	Y	Y	Y

I / We request you to open a depository account in my / our name as per the following details :

**ACCOUNTS HOLDER(S) DETAILS**

	Name	PAN							
Sole/ First Holder									
Second Holder									
Third Holder									

**STANDING INSTRUCTIONS**

I / We authorise you to receive credits automatically into my / our account	<input type="checkbox"/> Yes <input type="checkbox"/> No
Accounts to be operated through Demat Debit and Pledge Instruction (DDPI)	<input type="checkbox"/> Yes <input type="checkbox"/> No
Standing instruction indicator for Auto Pledge Confirmation	<input type="checkbox"/> Yes <input type="checkbox"/> No
Mode of Operations	<input type="checkbox"/> Jointly <input type="checkbox"/> Anyone of the Holder or Survivor
Do you wish to receive dividend / interest directly into you bank account given below through ECS? If not marked, default answer is "Yes"	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement requirement : <input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly	

Mode of receiving Statement of account	<input type="checkbox"/> Physical Form
	<input type="checkbox"/> Electronic Form [Read Note 3 and ensure that email ID provided in the KYC application form]
Email ID	

**FINANCIAL DETAILS**

	PAN / GIR No	IT Circle / Ward / District No
Sole / First Holder		
Second Holder		
Third Holder		
Guardian Details (if sole holder is minor)		

**SMS ALERT FACILITY**

SMS Alert Facility	<input type="checkbox"/> Yes : Mobile No - +91-	<input type="checkbox"/> No
	Refer to Terms and Conditions given as Annexure A Model of Receiving Rights and Obligations <input type="checkbox"/> Physical <input type="checkbox"/> Electronic <input type="checkbox"/> Self <input type="checkbox"/> Family Member	
EASI Facility	<input type="checkbox"/> Yes <input type="checkbox"/> No. If yes, please contact the DP for details [Facility through, CDSL's website: <a href="http://www.cdslindia.com">www.cdslindia.com</a> , wherein a BO can view his ISIN balances, transactions and value of portfolio online]	

**SMS Facility (if yes, fill mobile no on relevant places)**

First Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No	Second Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No	Third Holder	<input type="checkbox"/> Yes <input type="checkbox"/> No
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 Signature of Sole / 1<sup>st</sup> Holder

 Signature of 2<sup>nd</sup> Holder

 Signature of 3<sup>rd</sup> Holder

**BANK DETAILS**

Bank Account Type										
Bank Account No										
Bank Name										
Bank Address										
	City/Town/Village					Pin Code				
	State					Country				
MICR Code										
IFS Code										

\*9 Digits Code No if the Bank and Bank appearing on the MICR cheque issue by the Bank. MICR beginning with '0' are not valid.

i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) (ii) Photocopy of the Bank Statement having name and address of the BO, (or) (iii) Photocopy of the passbook having name and address of the BO, (or) (iv) Letter from the Bank. Incase of (ii), (iii) and (iv), the MICR code of the branch should be present / mentioned on the document.

**IN CASE OF FIIs / OCBs / Others (as may be applicable)**

Foreign Address											
					Pin Code						
RBI Approval Ref No					RBI Approval Date	D	D	M	M	Y	Y
SEBI Registration No (for FIIs)											
Address for communication / Corporate Benefits	<input type="checkbox"/> Registered / Permanent Address										
Default option is Registered Office Address	<input type="checkbox"/> Correspondence Address / Foreign Address										

**CLEARING MEMBER DETAILS**

Name of Stock Exchange										
Name of Clearing Corporation / Clearing House										
Clearing Member ID / Trading Member ID					SEBI Regn No					
Trade Name										
CM BP-ID (to be filled by Participant)										

**INTRODUCTION (by and existing account holder / applicant's bank)**

DP ID				Client ID			
-------	--	--	--	-----------	--	--	--

(In case of existing account holder)

I confirm the identity and address of the applicant(s)

Name of the applicant(s)						
Signature of Introducer / Signature and seal of Bank (to be verified by DP Officials)						

**DECLARATION**

The rules and regulations of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/we hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false

Signature of Sole / 1<sup>st</sup> Holder

Signature of 2<sup>nd</sup> Holder

Signature of 3<sup>rd</sup> Holder

or untrue or misleading or misrepresenting, I am/ we are aware that I/we may be held liable for it. In case non-resident account, I/we also declare that I/we have complied and will continue to comply with FEMA regulations. I/we acknowledge the receipt of copy of the document "Rights and Obligations of the Beneficial Owner and Depository Participant".

Mode of Operation for Sole/First Holder (Incase of Joint holding, all the holders must sign)	<input type="checkbox"/> Any one Singly <input type="checkbox"/> Jointly By
	<input type="checkbox"/> As per Resolution <input type="checkbox"/> Others (Please Specify)

### AUTHORISED SIGNATORIES (ENCLOSE BOARD RESOLUTION FOR AUTHORISED SIGNATORIES)

Sole / First Holder	Name	Signatures
First Signatory		
Second Signatory		
Third Signatory		

Other Holders	Name	Signatures
Second Holder		
Third Holder		

### Additional Details

Nature of Business	
--------------------	--

### Type of Account

- |   |                                      |                                |
|---|--------------------------------------|--------------------------------|
| <input type="checkbox"/> Body Corpoate                | <input type="checkbox"/> FI          | <input type="checkbox"/> FII   |
| <input type="checkbox"/> Qualified Foreign Investor   | <input type="checkbox"/> Mutual Fund | <input type="checkbox"/> Trust |
| <input type="checkbox"/> Bank                         | <input type="checkbox"/> CM          | <input type="checkbox"/> HUF   |
| <input type="checkbox"/> Other (Please specify) _____ |                                      |                                |

In case of Partnership Firm, Unregistered Trust, Association of Persons(AOP) etc. Although the account is opened in the name of the partner(s), trustee(s) etc., the name & PAN of the Partnership Firm, Unregistered Trust, Association of Persons(AOP) etc., should be mentioned below:

Name		PAN												
------	--	-----	--	--	--	--	--	--	--	--	--	--	--	--

### Income Details

Income Range per annum (please tick any one)

- |   |  |
|---|--|
| <input type="checkbox"/> Below ₹ 20 Lac       | <input type="checkbox"/> ₹ 20 Lac - ₹ 50 Lac |
| <input type="checkbox"/> ₹ 50 Lac - ₹ 1 Crore | <input type="checkbox"/> More than ₹ 1 Crore |

Networth Amount ₹ \_\_\_\_\_ as on \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

(Networth should not be older than 1 year).

**Please tick, if applicable for any of your authorised signatories / promoters / Partners / Karta / Trustee / Whole Time Directors**

- |   |  |
|---|--|
| <input type="checkbox"/> Politically Exposed Person (PEP) | <input type="checkbox"/> Related to a Politically Exposed Person (PEP) |
|---|--|

Signature of Sole / 1 <sup>st</sup> Holder	Signature of 2 <sup>nd</sup> Holder	Signature of 3 <sup>rd</sup> Holder
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**Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories (NSDL + CDSL)**
**General Clause**

1. The Beneficial Owner and the Depository participant (DP) shall be bound by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Rules and Regulations of Securities and Exchange Board of India (SEBI), Circulars/Notifications/Guidelines issued there under, Bye Laws and Business Rules/Operating Instructions issued by the Depositories and relevant notifications of Government Authorities as may be in force from time to time.
2. The DP shall open/activate demat account of a beneficial owner in the depository system only after receipt of complete Account opening form, KYC and supporting documents as specified by SEBI from time to time.

**Beneficial Owner information**

3. The DP shall maintain all the details of the beneficial owner(s) as mentioned in the account opening form, supporting documents submitted by them and/or any other information pertaining to the beneficial owner confidentially and shall not disclose the same to any person except as required by any statutory, legal or regulatory authority in this regard.
4. The Beneficial Owner shall immediately notify the DP in writing, if there is any change in details provided in the account opening form as submitted to the DP at the time of opening the demat account or furnished to the DP from time to time.

**Fees/Charges/Tariff**

5. The Beneficial Owner shall pay such charges to the DP for the purpose of holding and transfer of securities in dematerialized form and for availing depository services as may be agreed to from time to time between the DP and the Beneficial Owner as set out in the Tariff Sheet provided by the DP. It may be informed to the Beneficial Owner that "no charges are payable for opening of demat accounts"
6. In case of Basic Services Demat Accounts, the DP shall adhere to the charge structure as laid down under the relevant SEBI and / or Depository circulars / directions / notifications issued from time to time.
7. The DP shall not increase any charges/tariff agreed upon unless it has given a notice in writing of not less than thirty days to the Beneficial Owner regarding the same.

**Dematerialization**

8. The Beneficial Owner shall have the right to get the securities, which have been admitted on the Depositories, dematerialized in the form and manner laid down under the Bye Laws, Business Rules and Operating Instructions of the depositories.

**Separate Accounts**

9. The DP shall open separate accounts in the name of each of the beneficial owners and securities of each beneficial owner shall be segregated and shall not be mixed up with the securities of other beneficial owners and/or DP's own securities held in dematerialized form.
10. The DP shall not facilitate the Beneficial Owner to create or permit any pledge and / or hypothecation or any other interest or encumbrance over all or any of such

securities submitted for dematerialization and/or held in demat account except in the form and manner prescribed in the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996 and Bye-Laws/Operating Instructions/Business Rules of the Depositories.

**Transfer of Securities**

11. The DP shall effect transfer to and from the demat accounts of the Beneficial Owner only on the basis of an order, instruction, direction or mandate duly authorized by the Beneficial Owner and the DP shall maintain the original documents and the audit trail of such authorizations.
12. The Beneficial Owner reserves the right to give standing instructions with regard to the crediting of securities in his demat account and the DP shall act according to such instructions.

**Statement of account**

13. The DP shall provide statements of accounts to the beneficial owner in such form and manner and at such time as agreed with the Beneficial Owner and as specified by SEBI/depository in this regard.
14. However, if there is no transaction in the demat account, or if the balance has become Nil during the year, the DP shall send one physical statement of holding annually to such BOs and shall resume sending the transaction statement as and when there is a transaction in the account.
15. The DP may provide the services of issuing the statement of demat accounts in an electronic mode if the Beneficial Owner so desires. The DP will furnish to the Beneficial Owner the statement of demat accounts under its digital signature, as governed under the Information Technology Act, 2000. However if the DP does not have the facility of providing the statement of demat account in the electronic mode, then the Participant shall be obliged to forward the statement of demat accounts in physical form.
16. In case of Basic Services Demat Accounts, the DP shall send the transaction statements as mandated by SEBI and/or Depository from time to time.

**Manner of Closure of Demat account**

17. The DP shall have the right to close the demat account of the Beneficial Owner, for any reasons whatsoever, provided the DP has given a notice in writing of not less than thirty days to the Beneficial Owner as well as to the Depository. Similarly, the Beneficial Owner shall have the right to close his/her demat account held with the DP provided no charges are payable by him/her to the DP, In such an event, the Beneficial Owner shall specify whether the balances in their demat account should be transferred to another demat account of the Beneficial Owner held with another DP or to rematerialize the security balances held.
18. Based on the instructions of the Beneficial Owner, the DP shall initiate the procedure for transferring such security balances or rematerialize such security balances within a period of thirty days as per procedure specified from time to time by the depository. Provided further, closure of demat account shall not affect the rights, liabilities and obligations of either the Beneficial Owner or the DP and shall continue to bind the parties to their satisfactory completion.

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 Signature of Sole / 1<sup>st</sup> Holder

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 Signature of 2<sup>nd</sup> Holder

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 Signature of 3<sup>rd</sup> Holder

**Default in payment of charges**

19. In event of Beneficial Owner committing a default in the payment of any amount provided in Clause 5 & 6 within a period of thirty days from the date of demand, without prejudice to the right of the DP to close the demat account of the Beneficial Owner, the DP may charge interest at a rate as specified by the Depository from time to time for the period of such default.
20. In case the Beneficial Owner has failed to make the payment of any of the amounts as provided in Clause 5&6 specified above, the DP after giving two days notice to the Beneficial Owner shall have the right to stop processing of instructions of the Beneficial Owner till such time he makes the payment along with interest, if any.

**Liability of the Depository**

21. As per Section 16 of Depositories Act, 1996.
1. Without prejudice to the provisions of any other law for the time being in force, any loss caused to the beneficial owner due to the negligence of the depository or the participant, the depository shall indemnify such beneficial owner.
2. Where the loss due to the negligence of the participant under Clause (1) above, is indemnified by the depository, the depository shall have the right to recover the same from such participant.

**Freezing/ Defreezing of accounts**

22. The Beneficial Owner may exercise the right to freeze/defreeze his/her demat account maintained with the DP in accordance with the procedure and subject to the restrictions laid down under the Bye Laws and Business Rules/Operating Instructions.
23. The DP or the Depository shall have the right to freeze/defreeze the accounts of the Beneficial Owners on receipt of instructions received from any regulator or court or any statutory authority.
24. The joint holders are aware that in case of any Statutory Order for freezing any one joint holder, the demat account will be frozen and the other joint holders will have to obtain a specific Order for unfreezing their percentage of their joint ownership by submitting the relevant documentary proof to the Order issuing authority.

**Redressal of Investor grievance**

25. The DP shall redress all grievances of the Beneficial Owner against the OP within a period of thirty days from the date of receipt of the complaint.

**Authorized representative**

26. If the Beneficial Owner is a body corporate or a legal entity, it shall, along with the account opening form, furnish to the DP, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

**Law and Jurisdiction**

27. In addition to the specific rights set out in this document, the DP and the Beneficial owner shall be entitled to exercise any other rights which the DP or the Beneficial Owner may have under the Rules, Bye Laws and Regulations of the respective Depository in which the demat account is opened and circulars/notices issued there under or Rules and Regulations of SEBI.
28. The provisions of this document shall always be subject to Government notification, any rules, regulations, guidelines and circulars/notices issued by SEBI and Rules, Regulations and Bye-laws of the relevant Depository, where the Beneficial Owner maintains his/her account, that may be in force from time to time.
29. The Beneficial Owner and the DP shall abide by the arbitration and conciliation procedure prescribed under the Bye-laws of the depository and that such procedure shall be applicable to any disputes between the DP and the Beneficial Owner.
30. Words and expressions which are used in this document but which are not defined herein shall unless the context otherwise requires, have the same meanings as assigned thereto in the Rules, Bye-laws and Regulations and circulars/notices issued there under by the depository and / or SEBI.
31. Any changes in the rights and obligations which are specified by SEBI/Depositories shall also be brought to the notice of the clients at once.
32. If the rights and obligations of the parties hereto are altered by virtue of change in Rules and regulations of SEBI or Bye-laws, Rules and Regulations of the relevant Depository, where the Beneficial Owner maintains his/her account, such changes shall be deemed to have been incorporated herein in modification of the rights and obligations of the parties mentioned in this document,
33. The stock broker / stock broker and depository participant shall not directly / indirectly compel the client to execute Power of Attorney (PoA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.

Mode of receiving right and obligations (Tick any one) :  Physical  Email

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Signature of Sole / 1<sup>st</sup> Holder

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Signature of 2<sup>nd</sup> Holder

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Signature of 3<sup>rd</sup> Holder



## Abhipra Capital Ltd.

Regd. Office : GF-58-59, World Trade Centre, Barakhamba Lane, Delhi-110001

Phone No.: 011 - 23414629, 23414503, 42390798, 42390799 | E-mail: [customercare@abhipra.com](mailto:customercare@abhipra.com) | Website : [www.abhipra.com](http://www.abhipra.com)

### TERMS AND CONDITIONS-CUM-REGISTRATION / MODIFICATION FORM FOR RECEIVING SMS ALERTS FROM CDSL

#### Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its corporate office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of Dps who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

#### Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those accountholders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the

depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

#### Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at [complaints@cdslindia.com](mailto:complaints@cdslindia.com). The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the

service in any manner whatsoever.

9. If the BO finds that the Information such as mobile number etc has been changed without proper authorization, the BO should immediately inform the DP in writing.
10. The stock broker and depository participant shall not directly / indirectly compel the clients to execute Power of Attorney (POA) or Demat Debit and Pledge Instruction (DDPI) or deny services to the client if the client refuses to execute PoA or DDPI.
11. Interest rate to be changed to 13% per annum in CDSL Price Structure only.

**Fees :**

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

**Disclaimer :**

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warrant the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/misuse of such information by any third person.

**Liability and Indemnity.**

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

**Amendments :**

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service,

**Governing Law and Jurisdiction :**

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. If We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

If/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/we further undertake to pay fees/charges as may be levied by the depository from time to time.

If We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository

DP ID	1	2	0	1	5	0	0	0
-------	---	---	---	---	---	---	---	---

BO ID														
-------	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Sole/First Holder's Name: \_\_\_\_\_ (Please write your 8 digit BO Id in CDSL)

Second Holder's Name : \_\_\_\_\_

Third Holder's Name : \_\_\_\_\_

Mobile No. on which messages are to be sent 

+ 9 1														
-------	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 Please write only mobile no. without prefixing country code or zero)

The Mobile No. is registered in the Name of : \_\_\_\_\_

E-mail Id : \_\_\_\_\_  
(Please write only ONE valid email Id on which communication; if any, is to be sent)

 (9) \_\_\_\_\_  
Sole/First Holder Signature

 \_\_\_\_\_  
Second Holder Signature

 \_\_\_\_\_  
Third Holder Signature

Place : \_\_\_\_\_ Date : \_\_\_\_\_ / \_\_\_\_\_ /20\_\_\_\_\_ In case of joint holding, all joint holders must sign

**Schedule A**  
**SCHEDULE OF CHARGES FOR DEPOSITORY SERVICES**  
**Corporate / Individual**  
**(CDSL)**

Please Tick the Scheme Chosen

Price Structure for Beneficiary Account

S No	Service	A <input type="checkbox"/> Regular Scheme	B <input type="checkbox"/> Flexi Demat cum Trading Account Scheme (Individual only)	C <input type="checkbox"/> Demat cum Trader Scheme (Individuals only)	D <input type="checkbox"/> BSDA Scheme
1	Account opening charges	NIL	NIL	NIL	NIL
2	One Time Activation charge (non- refundable)	₹ 5,000/- (one time for corporates)	NIL	₹ 2,500/-#	NIL
3	Annual maintenance charge	₹ 400/- p.a.	₹ 600/- p.a.	NIL**	NIL (If value of holding including debt and other than debt securities combined is upto ₹ 4 Lacs) ₹ 100/- (If value of holding is more than ₹ 4 Lacs but upto ₹ 10 Lacs)
3	Annual maintenance charge (For Corporates)	₹ 5,000/- p.a.	N.A.	N.A.	N.A.
4	Dematerialization charges (upfront)	₹ 2/- per certificate *	₹ 2/- per certificate *	₹ 2/- per certificate *	₹ 2/- per certificate *
5	Market Transaction Charges (per Transaction)				
	Credit	NIL	NIL	NIL	NIL
	Debit	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum ₹ 20/-	Trades through Abhipra – NIL In other cases same as scheme A	₹ 20/-	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum ₹ 20/-
6	Off-Market Transaction Charges (per Transaction)				
	Credit	NIL	NIL	NIL	NIL
	Debit (upfront)	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum ₹ 20/-	Same as scheme A	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum ₹ 20/-	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum ₹ 20/-
7	Pledge creation / closure / invocation (upfront)	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum	0.03% of the face value or book value or transaction value, whichever is higher subject to minimum

\_\_\_\_\_  
Sole/ First Holder Signature

\_\_\_\_\_  
Second Holder Signature

\_\_\_\_\_  
Third Holder Signature

		₹ 30/-	₹ 30/-	₹ 30/-	₹ 30/-
8	Instruction Booklet (upfront)	₹ 60/- per 10 leafs	First Instruction book FREE. Second onwards same as Scheme A	First Instruction book FREE. Second onwards same as Scheme A	₹ 60/- per 10 leafs
9	Rematerialisation Charges (Upfront)	0.03% of value subject to minimum ₹ 25/- per certificate for every 100 securities of part there of	0.03% of value subject to minimum ₹ 25/- per certificate for every 100 securities of part there of	0.03% of value subject to minimum ₹ 25/- per certificate for every 100 securities of part there of	0.03% of value subject to minimum ₹ 25/- per certificate for every 100 securities of part there of
10	Annual Maintenance Charges – Speed-E Users				
	a) for password users	₹ 120/- p.a.	₹ 120/- p.a.	₹ 120/- p.a.	₹ 120/- p.a.
	b) for smart card users	₹ 1400/- p.a.	₹ 1400/- p.a.	₹ 1400/- p.a.	₹ 1400/- p.a.
	c) Subscription for IDEAS (Internet Demat Account Statement)	₹ 20/- p.a.	₹ 20/- p.a.	₹ 20/- p.a.	₹ 20/- p.a.
11	Belated Instruction (Upfront)	₹ 25/- Intimation	₹ 25/- Intimation	₹ 25/- Intimation	₹ 25/- Intimation
12	Minimum Transaction Bill	₹ 30/- per month	₹ 30/- per month	₹ 30/- per month	₹ 30/- per month
13	Failed Instruction	₹ 30/- Intimation	₹ 30/- Intimation	₹ 30/- Intimation	₹ 30/- Intimation

## NOTES

14. \*Demat Dispatch Charges (Upfront) - ₹ 60/- upto 3 certificates and thereafter for every 5 certificates ₹ 60/-

15. Transmission Charges (Upfront)

S No	Stock Valuation for Tansmission	Minimum charges (A)	Percentage on valuation above minimum slab value	Total Charges
1	₹ 1 – 50,000/-	₹ 500/-	-	₹ 500/-
2	₹ 50,001 - 1,00,000/-	₹ 1,000/-	-	₹ 1,000/-
3	₹ 1,00,001 – 10,00,000/-	₹ 1,000/-	0.50%	(A)+(B)
4	₹ 10,00,001 – 25,00,000/-	₹ 5,500/-	0.25%	(A)+(B)
5	₹ 25,00,001 – 50,00,000/-	₹ 9,250/-	0.125%	(A)+(B)
6	₹ 50,00,001 and above	₹ 12,375/-	0.10%	(A)+(B)

16 Nomination Charges (Upfront) ₹ 200/- for creation / cancellation / substitution of nomination details

17 Modification of account details ₹ 200/- per request (Client detail, Bank detail, Signature change, Power of Attorney etc.)

18 Furnish NACH debit mandated or deposit ₹ 3,000/- as interest free deposit (refundable after receiving dues if any at the time of closing of account.)

19 Cheque / DD in favor of Abhipra Capital Limited

20 Internet based trading web / mobile trading is free subject to completion of documentation

21 Charges for Commodity Demat Account are same as mentioned above under various Scemes EXCEPT following

Demat Charges 0.01% of value subject to minimum ₹ 25/- per request

Remat Charges 0.02% of value subject to minimum ₹ 75/- per request

22 Minimum courier / Postage charges ₹ 60/-

23 The charges defined are exclusive of the applicable taxes

24 # In case of the Demat cum Trader scheme, brokerage rebate upto ₹ 2,000/- upto for trade executed within a period of 3 months.

25 \*\* In case of the Demat cum Trader scheme, if the Trading Account goes dormant for more than one year, then the scheme shall get converted to SCHEME A.

Sole/ First Holder Signature

Second Holder Signature

Third Holder Signature

**NOTES**

1. In case account holder has not selected any of the scheme then by default Scheme A shall be applicable.
2. Billing for the month will be done in the succeeding month in accordance with the applicable slabs. Bills will be sent on monthly basis if any transaction has been carried out during the month otherwise on quarterly basis.
3. Charges/Services are subject to revision. A 30 days notice would be given by way of notice in the newspaper / email / website publication and shall be treated as sufficient notice at the sole discretion of Abhipra Capital Limited.
4. In case of non-payment of bill/dues within 30 days of due date, interest shall be charged @13%p.a.
5. The depository services are liable to be discontinued, in case of non-payment of bill/dues within 30 days of due date. The renewable charges for resuming the services will be ₹ 100/-.
- 6 Any hardware/software cost for speed-e like Smart card, Smart card Reader etc. shall be borne by the account holder (upfront).
7. Any other services not specified above shall be charged extra. Taxes, duties, out of pocket expenses are to be reimbursed as per bill.
8. Stamp paper cost to be borne by the client.
9. The statements / Bills etc are being dispatched as per the rules, regulations, and bye laws of the depositories and in case weekly and fortnightly statements demanded, ₹ 20/- per page will be charged, Postage / courier charges will be extra.
10. Charges for printout of client master for first time is free of cost, second time onwards, will be provided at the cost of ₹ 50/- per instance.
11. A difference of ₹ 50/- total brokerage booked per exchange on a particular date will be charged towards minimum processing fee.

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Sole/ First Holder Signature

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Second Holder Signature

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Third Holder Signature



**FEMA DECLARATION**

To,

**Abhipra Capital Ltd**

**Regd. Office** : GF 58-59, World Trade Centre, Barakhamba Lane, New Delhi - 110001

**Phone No.:** 011 - 23414629, 23414503, 42390798, 42390799 | **E-mail:** customercare@abhipra.com | **Website :** www.abhipra.com

I/We hereby declare that all transactions, as may be entrusted by us to Abhipra Capital Limited from time to time will be in strict conformity with the prevailing provisions of Foreign Exchange Management Act, 1999 (FEMA) and regulations/directions issued by Reserve Bank of India at the time the transactions are put through.


I/We declare that all the securities held by me /us and to be purchased were / will be, subject to necessary approvals and compliances under FEMA and any other applicable law.

I/We undertake to indemnify Abhipra Capital Limited against any actual / notional loss incurred by Abhipra Capital Limited due to non compliance by me / us with FEMA or any other regulations in place.

I/We also hereby agree and undertake to give such information / documents as will satisfy you about transaction(s) in terms of the above declaration as may be require from time to time.

I/We also understand that if I/We refuse to comply with any such requirement or make only unsatisfactory compliance therewith, Abhipra Capital Limited may refuse to undertake the transaction and shall if it has reason to believe that any contravention/evasion is contemplated by me/us report the matter to relevant authority/Reserve Bank of India.

Name of the Client :

Signature of the Client  (12) \_\_\_\_\_



### ACKNOWLEDGEMENT RECEIPT

(Tear Here)

### ACKNOWLEDGEMENT RECEIPT

Date : \_\_\_/\_\_\_/\_\_\_

#### ABHIPRA CAPITAL LTD.

Regd. Office : GF 58-59, World Trade Centre, Barakhamba Lane, Delhi - 110001

DP ID : IN 300206

Received the Application from Mr. / Ms..... as the sole / first holder along with .....  
 ..... and ..... as the second and third holders respectively  
 for opening of a depository / Trading account. Please quote the DP ID & Client ID allotted to you in all your future correspondence.

Customer Care No. 011-42390798

Participant Stamp and Signature



NON-MANDATORY  
DOCUMENTS



**DECLARATION TO USE COMMON MOBILE NUMBER AND/EMAIL ID IN FAMILY ACCOUNTS**



**ABHIPRA CAPITAL LTD.**

**CDSL DP ID : 12015000 | NSDL DP ID : IN300206**

**Regd. Office:** GF 58-59, World Trade Centre, Barakhamba Lane, New Delhi - 110001


**Ph.:** 011-23414629, 23414503, 42390798, 42390799

**E-mail Id :** customercare@abhipra.com **Website:** www.abhipra.com

Client ID (CDSL)

Client ID (NSDL)

Date

DP ID	IN300206	Client ID		Trading Code	
Name of account holder					
<input type="checkbox"/> Mobile Number	+91	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="checkbox"/> Email ID					
I hereby declare that the aforesaid mobile number and/of E-mail ID belongs to <input type="checkbox"/> Me or <input type="checkbox"/> My family <i>(spouse, dependent children and dependent parents).</i>					
Signature of account holder  (13)					
Name of account holder					

- Reference:
- 1) SEBI Circular No. CIR/MIRSD/15/2011 date August 02, 2011
  - 2) NSDL Circular No. NSDL/Policy/2015/0040 dated April 13, 2015
  - 3) NSDL Circular No. NSDL/POLICY/2015/0068 dated August 6, 2015
  - 4) CDSL Circular No. CDSL/OPS/DP/POLCY/5139 dated April 15, 2015



## ADDENDUM TO THE CLIENT REGISTRATION FORM / KEY INFORMATION

In compliance with the provisions of Prevention of Money Laundering Act, 2002 and subsequent circulars issued by SEBI thereto, we hereby obliged to consider it as a part of client registration document:-

### PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (herein refer to an "Act") came into affect July 1, 2005 vide notification No. GRE 436(E) dated July 1, 2005 issued by Department of Revenue, Ministry of Finance, Govt. of India. Further SEBI vide Circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 mandated that all the Stock Brokers should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Client (KYC) policy. SEBI also issued another circular reference number ISD/CIR/RR/AML/2/06 dated March 23, 2006 advising all the Stock Brokers to take necessary steps to ensure compliance with the requirement of Sec 12 of the Act inter-alia, maintenance and preservation of records and reporting of information relating to cash and suspicious transactions to Financial Intelligence Unit-India (FIU-IND), New Delhi.

Please Refer - <http://fiuindiagov.in/pmla2002.htm>

Please refer to SEBI Master Circular on Anti Money laundering Standards (AML)/Combating Financing of Terrorism (CFT) obligationed securities market intermediaries under the Prevention of Anti-Money Laundering Act, 2002 and rules framed thereunder dated 31st December 2010 [http://www.sebi.gov.in/cms/sebi\\_data/attachdocs/1295933371907.pdf](http://www.sebi.gov.in/cms/sebi_data/attachdocs/1295933371907.pdf)

The constituents should ensure that the amount invested in the securities is through legitimate sources only and does not involve and is not designated for the purpose of contravention or evasion of the provision of the Income Tax Act, Prevention of Money Laundering Act, Prevention of corruption Act and/or any other law for the time being in force enacted by Govt. of India from time to time or any rules and regulations, notifications or directions issued there under.

To ensure appropriate identification of the constituents under its KYC policy and with view to monitor the transactions for the prevention of anti money laundering, the company reserves the right to seek information, record constituents telephonic calls and/or obtain or retain documentation for establishing the identity of the constituents, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The constituents or their attorney, if any, shall produce independent source documents, such as photographs, certified copies of ration card/passport/pan card/driving license or such other documents or produce such information as may be required from time to time for verification of the identity, residential address, financial information of the constituents by the company.

If the constituent refuses/fails to produce the required documents and information within the period specified in the communication sent by company to the constituent, then the company after applying due diligence measures believes that the transaction is suspicious in nature within the purview of the Act and SEBI circulars issued from time to time or on account of deficiencies in the documentation shall have absolute discretion to report suspicious transaction to FIU-IND or to reject the application or to freeze the account of constituent. Thus the KYC documentation shall be complied with by all the constituents in its true spirit and word.

The Company, its Directors, its Employees and agents shall not be liable in any manner for any claim arising whatsoever on account of freezing of account or on rejection of application etc. due to noncompliance of the provisions of the Act, SEBI circulars and KYC policy and or where company believes that transaction is suspicious in nature within the purview of the Act or SEBI circulars and reporting the same to FIU-IND.

This document form an integral part of the client registration form as addendum or key information memorandum and will be subject to amendments from time to time.

Name of the Client : \_\_\_\_\_

Signature of the Client  (15) \_\_\_\_\_



## FORMAT OF BOARD RESOLUTION IN CASE OF CORPORATE/TRUST

Certified true copy of the resolution passed at the meeting of the Board of Directors / Trustees/ of \_\_\_\_\_ Ltd

/Trust and having its registered office at \_\_\_\_\_

\_\_\_\_\_ held on \_\_\_\_\_ Day of \_\_\_\_\_ 20\_\_ at \_\_\_\_\_ A.M /P.M.

RESOLVED THAT the Company / Trust be registered as CLIENT with Abhipra Capital Ltd (Member of the Stock & Currency Exchanges and Depository Participant of Depositories for the purpose of dealing in equities, derivatives and opening of Depository Account etc. and the said Member be and is hereby authorized to honour instructions oral or written, given on behalf of the Company / Trust by any of the under noted authorized signatories:-

Sr. No	Name	Designation
1.	.....	.....
2.	.....	.....
3.	.....	.....

who are authorized to sell, purchase, transfer, endorse, negotiate and / or otherwise deal with / through Abhipra Capital Ltd on behalf of the Company /Trust. RESOLVED FURTHER THAT Mr. \_\_\_\_\_ and / or Mr. \_\_\_\_\_ Directors / Trustees of the Company / Trust be and are hereby Severally/Jointly authorized to sign, execute and submit such applications, undertakings, agreements and other requisite documents, writings and deeds as may deemed necessary or expedient to give effect to this resolution.

AND RESOLVED FURTHER THAT the common seal of the Company be affixed, wherever necessary in the presence of any directors or of any one director and company Secretary, who shall sign the same in token of their presence.

For \_\_\_\_\_ Chairman/Company Secretary/All trustees Specimen signatures of the authorized persons

Sr. No.	name
1.	.....
2.	.....

( The above signatures to be attested by the person signing the resolution for account opening on behalf of the Company/ Trust)





Date : \_\_\_\_\_

The Manager  
Abhipra Capital Limited  
A-387, Abhipra Complex,  
Dilkhush Industrial Area,  
G.T. Karnal Road, Azadpur,  
Delhi-110033

**Subject: Opt-out from the BSDA Scheme in the Demat Account No \_\_\_\_\_**




Dear Sir,

This is with reference to the SEBI Circular No. SEBI/HO/MIRSD/MIRSD-POD1/P/CIR/2024/91 dated June 28, 2024 regarding facility for Basic Services Demat Account (BSDA) which is to be implemented from September 01, 2024. I/We hereby state that I/We do not wish to opt for the BSDA Scheme and you are kindly requested to de-flag my Demat Account as mentioned above in the subject and keep my account to the Scheme as ticked hereunder :

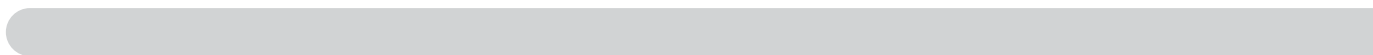
**Schemes:**

- 1. Regular Scheme:
- 2. Flexi Demat cum Trading Account Scheme:
- 3. Cost Free Scheme:

Regards,

		
_____ (Signature)	_____ (Signature)	_____ (Signature)

_____ First Holder Name	_____ Second Holder Name	_____ Third Holder Name
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## CHECKLIST OF DOCUMENTS TO BE SUBMITTED ALONG WITH THE FORM

### A. Proof of Identity (POI): -List of documents admissible as Proof of Identity:

- PAN card with photograph/Unique Identification Number (UID) (E-Aadhaar)/ Passport/ Voter ID card/ Driving license. Important: Copy of PAN card is mandatory. Identity card/document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public
- Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### B. Proof of Address (POA): -List of documents admissible as Proof of Address:

(\*Documents having an expiry date should be valid on the date of submission.)

- Unique Identification Number (UID) (E-Aadhaar) / Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
- Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
- Bank Account Statement/Passbook - Not more than 3 months old.
- Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
- Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
- Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
- For Fill/sub account, Power of Attorney given by Fill/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
- The proof of address in the name of the spouse may be accepted.

### C. ADDITIONAL DOCUMENTS in case of trading in derivatives segments - illustrative list:

- Copy of ITR Acknowledgement
- Copy of Annual Accounts
- Net worth certificate
- In case of salary income - Salary Slip, Copy of Form 16
- Bank account statement for last 6 months
- Copy of demat account holding statement.
- Any other relevant documents substantiating ownership of assets.
- Self declaration with relevant supporting documents.

\*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

### D. BANK PROOF

- Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.

### E. DEMAT ACCOUNT PROOF

- Demat master or recent holding statement issued by DP bearing name of the client.

### F. SPECIFIC INSTRUCTIONS FOR HUF

- The Depository Account cannot be opened in joint names.
- The Depository account shall be opened in the name of Karta under HUF category, however PAN & Bank details of HUF to be mentioned
- Please submit duly signed declaration with the names and signatures of all the co-parceners on letter head of HUF as per format given on page no .....

### G. SPECIFIC INSTRUCTIONS FOR PARTNERSHIP FIRM

- The depository account to be opened in the name of partner(s) under individual capacity in case of partnership firm, however PAN & Bank details of FIRM to be mentioned
- Please provide a clear photocopy of the PAN Card of the Partner(s) in whose names depository account is to be opened.
- Please provide a certified true copy of the Partnership deed.
- Please submit duly signed declaration with the names and signatures of all partners on letter head of Partnership Firm as per format given on Page no. ....

### H. SPECIFIC INSTRUCTIONS FOR CORPORATE

- Copy of the balance sheets for the last 2 financial years (to be submitted every year).
- Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year).
- Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations.
- Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly.
- Copies of the Memorandum and Articles of Association and certificate of incorporation.
- Copy of the Board Resolution for investment in securities market.
- Authorised signatories list with specimen signatures.

Please provide an initial cheque in favour of "Abhipra Capital Ltd." towards accounts opening charges, platform charges, subscription amount & margin amount whichever applicable

- Note :
1. Complete document to be signed by person himself/herself not to be signed by her/his attorney / authorised person.
  2. Trading in currency derivatives allowed only to resident clients.
  3. All supporting documents should be Self-Attested by client.
  4. Please strike off the boxes or space, which are not applicable.

## FOR OFFICE USE ONLY

<b>Branch Code</b>															
<b>Client Code Allotted</b>		<b>Equity</b>								<b>Depository</b>					
<b>Initial Cheque Details</b>															
<b>Cheque No.</b>		<b>Dated</b>		dd / mm / yyyy				<b>Amount</b>							
<b>Drawn on</b>															
		<b>Name</b>				<b>Employee / Introducer Code</b>				<b>Signature</b>					
<b>Introducer</b>															
<b>RM</b>															
<b>Dealer</b>															

Branch : .....

Client Trading Code No. : .....

DP	
TRADING	
HEAD OFFICE	
BRANCH	

Receipt Stamp	Branch / Business Partner	Remarks



# Abhipra

Member of NSE & BSE and Depository Participant with NSDL and CDSL  
Approved Registrar & Share Transfer Agent Category-I

SEBI Regn. No. NSE – INZ000285230 CMBPID No. NSE IN 550161

SEBI Regn. No. NSE – F&Q : INZ000285230

SEBI Regn. No. BSE – INZ000285230 CMBPID No. BSE IN 601726

SEBI Regn. No. BSE – F&Q : INZ000285230

NSDL : DPID No. IN 300206

CDSL : DPID No. 12015000

Corporate Office : Abhipra Complex, A-387, Dilkhush Indl. Area, G.T. Karnal Road, Azadpur, Delhi-110033

Phone No.: 011-41411130, 42390909, 42390900, Fax No.: 011-42390930 • E-mail Id : [customercare@abhipra.com](mailto:customercare@abhipra.com)

Registered Office : GF 58-59, World Trade Centre, Barakhamba Lane, New Delhi-110001

E-mail : [info@abhipra.com](mailto:info@abhipra.com) | [www.abhipra.com](http://www.abhipra.com)